# Duplication and Divergence of Floral MADS-Box Genes in Grasses: Evidence for the Generation and Modification of Novel Regulators

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### **Abstract**

The process of flowering is controlled by a hierarchy of floral genes that act as flowering time genes, inflores-cence/floral meristem identity genes, and/or floral organ-identity genes. The most important and well-character-ized floral genes are those that belong to the MADS-box family of transcription factors. Compelling evidence suggests that floral MADS-box genes have experienced a few large-scale duplication events. In particular, the precore eudicot duplication events have been considered to correlate with the emergence and diversification of core eudicots. Duplication of floral MADS-box genes has also been documented in monocots, particularly in grasses, although a systematic study is lacking. In the present study, by conducting extensive phylogenetic analyses, we identified pre-Poaceae gene duplication events in each of the AP1, PI, AG, AGL11, AGL2/3/4, and AGL9 gene lineages. Comparative genomic studies further indicated that some of these duplications actually resulted from the genome doubling event that occurred 66–70 million years ago (MYA). In addition, we found that after gene duplication, exonization (of intron sequences) and pseudoexonization (of exon sequences) have contributed to the divergence of duplicate genes in sequence structure and, possibly, gene function.

Key words: divergence; Oryza sativa; gene duplication; genome doubling event; grasses; MADS-box genes.

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Flowers, the specialized reproductive structures of angiosperms, are presumably the most important morphological innovations of flowering plants. Developmental and genetic

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studies have shown that the process towards forming a flower is controlled by a complex network of genes (nodes) and interactions (lines; Zhao et al. 2001; Soltis et al. 2002; Kaufmann et al. 2005). Among the genes in this network, the best known are MADS-box genes, the protein products of which are MADS domain-containing transcription factors (Yanofsky et al. 1990; Ma et al. 1991; Becker and Theissen 2003). By regulating the expression of other genes, these MADS-box genes can act as flowering time genes, inflorescence/floral meristem identity genes, and/or floral organ identity genes (Zhao et al. 2001; Soltis et al. 2002; Kaufmann et al. 2005). In particular, studies of floral organ identity genes in several model plants (such as Arabidopsis thaliana and Antirrhinum majus) have led to the proposal of the famous "ABC model" for floral development (Coen and Meyerowitz 1991). According to this model, the development of the four different floral organ types is determined genetically by three classes of gene function (A, B, and C): A

function alone specifies sepals in the first whorl; A and B specify petals in the second whorl; B and C specify stamens in the third whorl; and C alone specifies carpels in the fourth whorl (Coen and Meyerowitz 1991; Ma and dePamphilis 2000). Later, a fourth (D) gene function was recognized, which is indispensable for the formation of ovules within the carpel (Angenent et al. 1995; Colombo et al. 1995; Angenent and Colombo 1996). In Arabidopsis, A-function genes are represented by APETALA1 (AP1) and APETALA2 (AP2), B-function genes by APETALA3 (AP3) and PISTILLATA (PI), C-function genes by AGAMOUS (AG) and miR172, and D-function genes by SHATTERPROOF1 and 2 (SHP1, 2) and SEEDSTICK (STK) (=AGAMOUS-LIKE11 (AGL11)) (Coen and Meyerowitz 1991; Zahn et al. 2006a). Except for AP2 and miR172, all these genes are MIKC-type MADS-box genes, the proteins of which can form quaternary complexes together with the protein of the SEPALATA1-4 genes (SEP1-4; also known as AGL2, -4, -9, and -3; these are the so-called E-function genes): sepals are controlled by the "AP1-AP1-SEP-SEP", petals by the "AP1-AP3-PI-SEP", stamens by the "AP3-PI-AG-SEP", carpels by the "AG-AG-SEP-SEP", and ovules by the "AG-STK-SHP-SEP" complexes (Theissen 2001; Theissen and Saedler 2001; Melzer et al. 2006).

Phylogenetic studies have suggested that floral MADS-box genes were derived from a single ancestral gene approximately 650 million years ago (MYA) and were the products of repeated gene duplications (Purugganan 1997; Nam et al. 2003). These duplications, usually accompanied by modifications in coding and/or regulatory regions, have also been shown to correlate with the occurrence of major plant groups (Irish 2003; Irish and Litt 2005; Kramer and Hall 2005; Zahn et al. 2005). For example, just before the occurrence of angiosperms, an ancient duplication event has occurred in each of the AP3/PI, AG/AGL11, and SEP clades to generate the AP3 and PI, AG and AGL11, and AGL2/3/4 and AGL9 gene lineages, respectively (Kramer et al. 1998, 2004; Kim et al. 2004; Zahn et al. 2005, 2006b). Similarly, within each of the AP1, AP3, AG and AGL2/3/4 lineages, additional gene duplications have occurred before the diversification of extant core eudicots to create the euFUL, euAP1 and AGL79, euAP3 and TM6, euAG and PLE, and AGL2, AGL3 and FBP9 lineages, respectively (Kramer et al. 1998, 2004; Litt and Irish 2003; Kim et al. 2004; Stellari et al. 2004; Zahn et al. 2005; Shan et al. 2007). Expression and functional analyses further indicated that phylogenetically closely related paralogs from each species tend to have similar but differentiated expression patterns, suggesting that they perform related but distinct functions (Kramer et al. 1998; Lamb and Irish 2003; Vandenbussche et al. 2003). More interestingly, several floral organ identity genes, such as Arabidopsis AP1 and AP3, appear to be novel genes generated in the pre-core eudicot duplication events because, due to frameshift mutations, the C-termini of their proteins are no longer homologous to that of the paleoAP1 and paleoAP3 proteins, respectively (Kramer and Irish 2000; Litt and Irish 2003). Considering that the core eudicots are a successful angiosperm group with very elaborate and highly derived floral structures, many people have suggested that the origin of the core eudicot-specific floral structures may have been caused by the inclusion of more regulatory genes (nodes) and interactions (lines) into the already well-organized regulatory network for floral development in basal eudicots (Irish 2003, 2006; Kramer and Hall 2005; Zahn et al. 2005; Kramer and Zimmer 2006).

Duplications of floral MADS-box genes have also been documented in monocots, an important group that comprises approximately 22% of angiosperm species (Litt and Irish 2003; Zahn et al. 2005). For example, in the AP1 subfamily, at least two large-scale duplication events have been recognized, one before the split of the Asparagales and commelinids and the other within the Poales, probably prior to the origin of the Poaceae, a family that contains rice, maize, wheat, and other grasses (Shan et al. 2007). As a result, although the latest common ancestor (LCA) of monocots and eudicots possessed only one AP1 subfamily member, most (if not all) grass species have three types (i.e. OsMADS14, OsMADS15, and OsMADS18) of AP1 genes (Litt and Irish 2003; Whipple and Schmidt 2006). Similar situations were found in the PI, AG, AGL11, AGL2/3/4, and AGL9 lineages; the multiple grass genes in each of these lineages seem to have been derived from a single ancestral copy in the LCA of monocots and eudicots (Kim et al. 2004; Kramer et al. 2004; Zahn et al. 2005, 2006b; Figure 1). However, due to the lack of a detailed analysis, it is still not known when the duplication events in each subfamily happened, nor is it clear whether these events correspond to the genome-wide duplication event that occurred before the origin of the Poaceae 66-70 MYA (Vandepoele et al. 2003; Paterson et al. 2004; Wang et al. 2005; Yu et al. 2005). In the present study, by performing extensive phylogenetic analyses on floral MADS-box genes from monocots, we reveal pre-Poaceae gene duplication events in each of the AP1, PI, AG, AGL11, AGL2/3/ 4, and AGL9 lineages. In addition, we found that a few of these duplications can be explained by the genome doubling event, and that after gene duplication, exonization (of intron sequences) and pseudoexonization (of exon sequences) contributed to the divergence of floral MADS-box genes in both structure and function

# Results

#### **Duplication of floral MADS-box genes in grasses**

The 16 rice genes included in the present study belong to seven major lineages: four in the *AP1* lineage, three in the *AGL2/3/4* lineage, two in each of the *PI*, *AG*, *AGL11* and *AGL9* lineages,

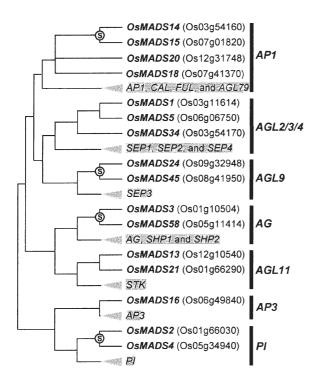


Figure 1. Summary of phylogenetic relationships of floral MADSbox genes from rice and Arabidopsis.

Shaded triangles represent the Arabidopsis genes. Segmental duplications are indicated by circled "S".

and one in the AP3 lineage. Thus, except for the AP3 lineage, all other gene lineages have expanded during the evolution of monocots. In particular, phylogenetic analyses for each of these gene lineages suggest that one (or two) pre-Poaceae gene duplication(s) must have happened in each of the AP1, PI, AG, AGL11, AGL2/3/4 and AGL9 lineages. This can be seen from the fact that many rice genes tend to form grass-specific clades with their putative orthologs from other grass species (Figures 2-5). For example, the two AP1-lineage members, OsMADS14 and OsMADS15, appear to have been generated through a relatively recent gene duplication that occurred before the diversification of the extant Poaceae but after the split between the Poaceae-Restionaceae-Flagellariaceae clade and the Xyridaceae-Juncaceae-Cyperaceae clade, because each gene forms a separate clade with its putative orthologs from other grasses such as Zea, Sorghum, Setaria, Avena, Lolium, and Hordeum, with genes from Xyris (Xyridaceae) and Cyperus (Cyperaceae) resolved as the outgroups of both clades. Similarly, within the PI, AG, AGL11, AGL2/3/4 and AGL9 lineages, the duplication events that gave rise to OsMADS2 and OsMADS4, OsMADS3 and OsMADS58, OsMADS13 and OsMADS1, OsMADS1 and OsMADS5, and OsMADS24 and

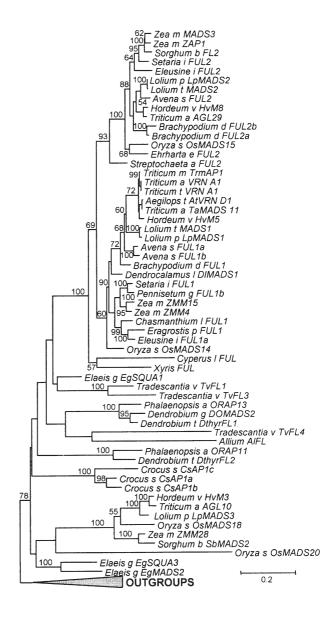
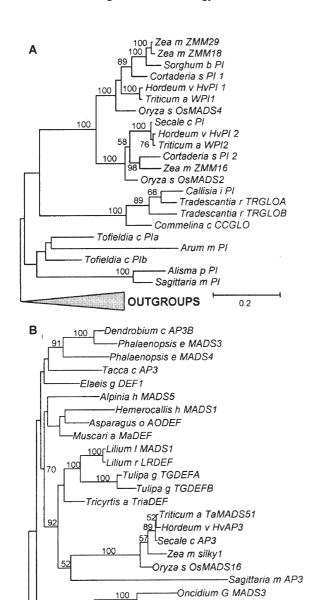


Figure 2. Maximum-likelihood (ML) tree of AP1-like genes from monocots.

This tree was based on the DNA sequence analysis. Bootstrap values greater than 50% are shown at nodes.

OsMADS45, respectively, all seem to have occurred before, and just before, the diversification of the Poaceae.

In addition to the pre-Poaceae duplications, the present study revealed two earlier gene duplication events, one in each of the AP1 and AGL2/3/4 lineages. In the AP1 lineage, although the exact position of OsMADS20 is still controversial, the duplication event that gave rise to the OsMADS14/15 and OsMADS18 clades may have happened before the diversification of extant



**Figure 3.** ML trees for **(A)** *AP3*-like genes and **(B)** *PI*-like genes from monocots.

--Crocus s AP3 Agapanthus p ApDEF

Commelina c CCDEF

-Tradescantia r TRDEF

87

-Phalaenopsis e MADS5

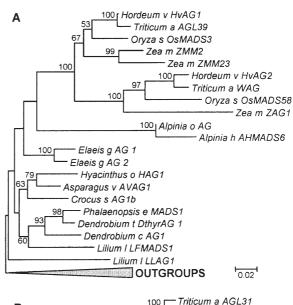
0.1

—Dendrobium c AP3A -Phalaenopsis e MADS2

Cymbidium h MADS1

OUTGROUP

commelinids (Figure 2). This result, although not well supported, is consistent with our recent phylogenetic studies on the *AP1* subfamily, which suggests that the *OsMADS14/15-OsMADS18* duplication may have happened before the divergence of



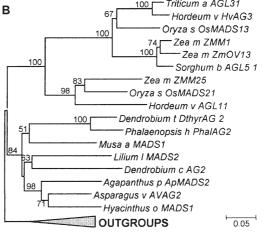
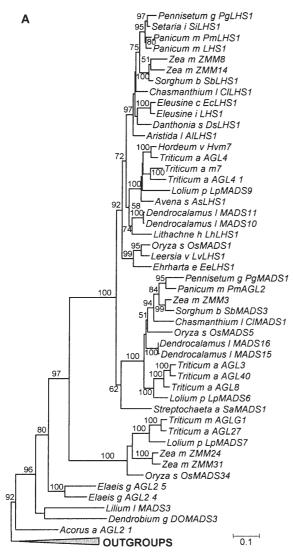
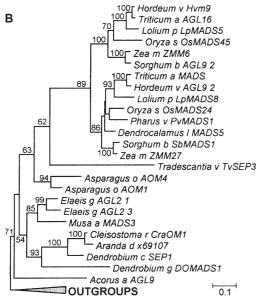


Figure 4. ML trees for (A) AG-like genes and (B) AGL11-like genes from monocots.

commelinids (including grasses) from the Asparagales (Shan et al. 2007). The *OsMADS1/5-OsMADS34* duplication in the *AGL2/3/4* lineage, however, seems to have occurred within the commelinids clade, probably after the split of Arecales from other commelinids, if the positions of the two *Elaeis* genes are taken into consideration.

Because the pre-Poaceae duplication events can be inferred in six of the seven floral MADS-box gene lineages, we wonder whether these duplication events correspond to a single genome doubling event. To understand this, we checked to see whether any of the rice gene pairs were actually generated through segmental duplications. Indeed, we found that the duplication events that gave rise to OsMADS14 and OsMADS15, OsMADS24 and OsMADS45, OsMADS3 and OsMADS58, and





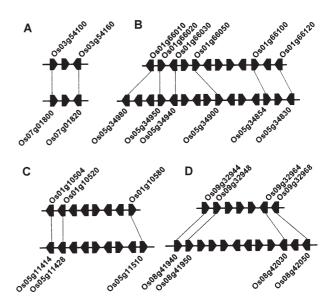


Figure 6. Segmental duplications of the (A) OsMADS14 (Os03g54160) and OsMADS15 (Os07g01820); (B) OsMADS2 (Os01g66030) and OsMADS4 (Os05g34940); (C) OsMADS3 (Os01g10504) and OsMADS58 (Os05g11414), and (D) OsMADS24 (Os09g32948) and OSMADS45 (Os08g41950) genes.

Putative paralogous genes are linked with thin lines.

OsMADS2 and OsMADS4, respectively, can be explained by segmental duplication (Figure 6). More interestingly, the synonymous distance (K<sub>s</sub>) values between these duplicate genes (0.681±0.123 for OsMADS14 and OsMADS15, 0.612±0.108 for OsMADS24 and OsMADS45, 0.845±0.149 for OsMADS3 and OsMADS58, and 0.726±0.129 for OsMADS2 and OsMADS4) are very close to each other, suggesting that the four independent duplication events may have happened simultaneously, or nearly so. In addition, because these K<sub>s</sub> values are also close to the mean  $K_s$  values (0.631 to 0.688) estimated for the duplicated segments in the rice genome (Yu et al. 2005), we believe that the aforementioned duplication events may have been caused by the genome doubling event before the origin of the Poaceae 66-70 MYA (Vandepoele et al. 2003; Paterson et al. 2004; Wang et al. 2005; Yu et al. 2005).

# Divergence between paralogous genes

To understand the evolutionary fate of the duplicate genes, we have also compared the exon/intron structure of the aforementioned

Figure 5. ML trees for (A) AGL2/3/4-like genes and (B) AGL9-like genes from monocots.

duplicate gene pairs. To our surprise, we discovered obvious differences between such paralogous gene pairs as OsMADS3 and OsMADS58, OsMADS13 and OsMADS21, and OsMADS5 and OsMADS1. OsMADS3 and OsMADS58, the two AG lineage members in rice, are 65% and 79% identical at the protein and DNA levels, respectively. At the protein level, OsMADS3 shares with many other AG lineage members the highly conserved "AG II" motif ("YAHQLQPTTLQLG"; Kramer et al. 2004) at the C-terminal region, whereas OsMADS58 has a partially different C-terminal end that is not homologous to those of the others. By comparing the structure of the two genes, we found that OsMADS3 has nine exons, whereas OsMADS58 has eight (Figure 7A). The eighth exon of OsMADS3 is 176 bp long and matches very well to the first half (1-172 of 231 bp) of the eighth exon of OsMADS58. However, the ninth exon of OsMADS3, which is 55 bp in length, does not match to the second half (173-231 of 231 bp) of the eighth exon of

OsMADS58, but shares significant similarity to a 56 bp long intergenic region downstream of the eighth exon of OsMADS58 (Figure 7B). This observation, together with the fact that the second half of the eighth exon of OsMADS58 matches very well to the 68 bp long intronic region following the eighth exon of OsMADS3 (Figure 7C), strongly suggests that OsMADS58 may have been generated from an OsMADS3-like ancestral gene through the exonization of the first 68 bp of intron 8 and the pseudoexonization of exon 9.

The differences between the two AGL11-like genes in rice (i.e. OsMADS13 and OsMADS21) are also conspicuous, although they are 56% and 84% identical at the protein and DNA levels, respectively. Both genes contain seven exons and six introns (Figure 8A); the first six exons are highly conserved, whereas the seventh exon contains many out-of-frame insertions/deletions (Figure 8B). As a result, the peptides that are homologous between OsMADS13 and OsMADS21 proteins are

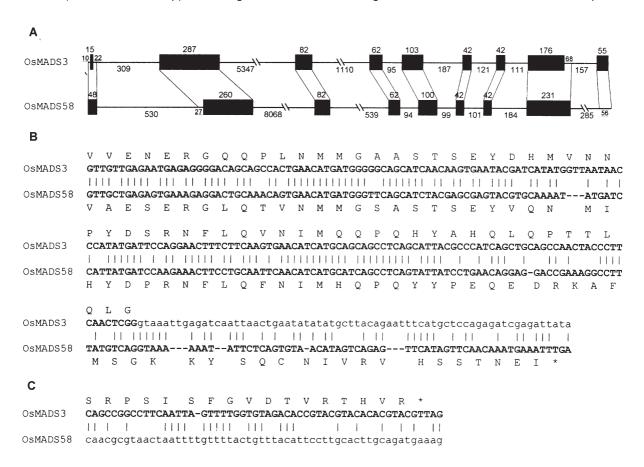


Figure 7. Comparison of the exon/intron structure of OsMADS3 and OsMADS58.

- (A) Schematic representations of exons (in black boxes) and introns. The lengths of each exon and intron are largely proportional to the real lengths. Regions (especially exons) that can match to each other are connected with thin lines.
- (B) Alignment for the eighth exon of the two genes. Uppercase bold letters denote exon sequence, and lowercase, intron sequence. Vertical lines indicate nucleotides identical between the two genes. Amino acid sequences are given above and below the exons.
- (C) Alignment for the ninth exon of OsMADS3 and the intergenic region downstream the eighth exon of OsMADS58.

only occasionally found within this region. Nevertheless, the AG I motif (Kramer et al. 2004) seems to be present in both proteins, although they are quite different in sequence (i.e. "LDMKCFFPLNLFE" in OsMADS13 and "FDTREYYQPAPPV" in OsMADS21). However, due to out-of-frame mutations, the AG II motif (Kramer et al. 2004) of OsMADS13 is only partially homologous to that of OsMADS21 and other AGL11-like proteins. More interestingly, compared with other AGL11-like proteins, both OsMADS13 and OsMADS21 possess elongated C-terminal ends, suggesting that mutational changes may have also converted the otherwise conserved stop codon into a sense codon.

OsMADS5 and OsMADS1, the two AGL2-like genes that are 71% and 88% identical at the protein and DNA levels, respectively, both contain eight exons and seven introns (Figure 9A). The first six exons are highly conserved in both length and sequence, whereas the seventh exon of OsMADS5 contains several in-frame deletions compared with that of OsMADS1, so that the SEP I motif (Zahn et al. 2005) of the OsMADS5 protein is no longer intact (Figure 9B). More strikingly, the eighth exon of OsMADS5 is only 34 bp, much shorter than that of OsMADS1, which is 115 bp. Sequence comparison further suggests that the eighth exon of OsMADS5 matches very well to the middle part of the eighth exon of OsMADS1 (Figure 9C). However, an insertion of a cytosine (C) in OsMADS5 seems to have led to the occurrence of a premature stop codon so that the remaining 21 amino acids at the C-terminal end of OsMADS1, which contains part of the SEP II motif (Zahn et al. 2005; same as the ZMM3 motif defined by Vandenbussche et al. 2003) and the whole OsMADS1 motif (Vandenbussche et al. 2003), are missing in OsMADS5.

# Discussion

#### Parallel duplications of floral MADS-box genes

Previous studies have indicated that floral MADS-box genes duplicated frequently during the evolution of flowering plants. In particular, the origin of angiosperms, as well as that of core

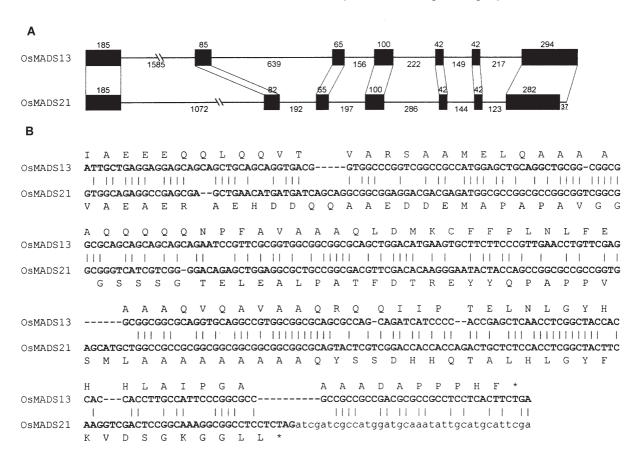


Figure 8. Comparison of the exon/intron structure of OsMADS13 and OsMADS21.

- (A) Schematic representations of exons and introns.
- (B) Alignment for the seventh exon of the two genes.

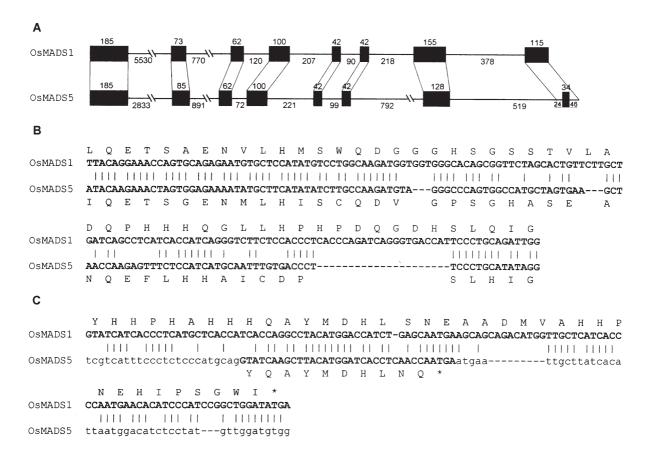


Figure 9. Comparison of the exon/intron structure of OsMADS1 and OsMADS5.

- (A) Schematic representations of exons and introns. Note that the eighth exon of OsMADS5 matches very well to the middle part of the eighth exon of OsMADS1.
- (B) Alignment for the seventh exon of the two genes.
- (C) Alignment for the eighth exon of the two genes.

eudicots, seems to coincide with the duplication of floral genes. In the present study, by conducting extensive phylogenetic analyses, we identified pre-Poaceae gene duplication events in six of the seven lineages of floral MADS-box genes. This observation, together with the fact that members of the Poaceae usually have quite distinct floral structures from other monocots and other angiosperms, strongly suggests that the duplication of floral genes may have contributed to the formation of a more complex regulatory network for floral development and thus led to the origin/diversification of more advanced systems. In addition, the similar phenomena observed in the core eudicots and the Poaceae, the two highly derived plant groups in eudicots and monocots, respectively, suggest that the modification of the already well-organized regulatory network can happen independently in different organismal lineages.

It has also been suggested that the pre-core eudicot duplication in each of the AP1, AP3, AG and AGL2/3/4 lineages arose

through a genome duplication event (Irish 2003; Zahn et al. 2005). In the present study, we found evidence that four pairs of rice genes very likely resulted from segmental duplications that correspond to the doubling of the rice genome 66-70 MYA (Vandepoele et al. 2003; Paterson et al. 2004; Wang et al. 2005; Yu et al. 2005). If this is true, then it suggests that, after genome duplication, most duplicated floral MADS-box genes tend to be preferentially retained in the genome. This is easy to understand, because the genes generated this way usually possess the regulatory elements needed and, thus, have a high probability to survive unless extra copies can result in a dosage effect that is detrimental to the plant. In addition, because duplicated floral MADS-box genes tend to have overlapping (or redundant) as well as differentiated expression patterns and/or functions (Ferrandiz et al. 2000a, 2000b; Pelaz et al. 2000), the probability for both copies to be retained increases. In spite of this, several lines of evidence suggest that elevation

of the expression level of some floral MADS-box genes can result in obvious morphological, physiological, and/or biochemical changes. For example, overexpression of an *AP1* lineage member (such as *AP1*, *CAL*, or *FUL*) in *A. thaliana* can cause early flowering and other phenotypes (Blazquez and Weigel 2000; Ferrandiz et al. 2000a, 2000b). This suggests that the increase in gene number may be harmful to the plant, and that the mechanism to selectively preserve or abandon a duplicate gene copy may be rather complex.

#### Conservation and divergence of duplicated genes

Several studies have proposed that duplicated genes can be retained in the genome either by subfunctionalization (the process in which the function of the ancestral gene is partitioned between two duplicate genes) or by neofunctionalization (the process in which one or both duplicate genes acquire novel functions; Force et al. 1999; Kramer et al. 2004). In plants, many duplicate genes have been shown to perform related but distinguishable functions. In other words, they can accumulate differences in both coding and regulatory regions. Changes, especially out-of-frame insertions and/or deletions, in coding regions may result in the generation of a protein with different functions, whereas mutations in regulatory regions may sometimes lead to a shift in expression patterns (Moore and Purugganan 2005).

In the present study, we observed differences in the coding regions of duplicate genes (OsMADS3 and OsMADS58, OsMADS13 and OsMADS21, and OsMADS1 and OsMADS5). Because these differences have caused changes in protein sequences, it is reasonable to assume that the ability of these duplicate genes to interact with their potential partners may have also changed. However, owing to a lack of functional analyses (especially protein-protein interaction assays), it is still hard to know the real situation. Nevertheless, there is evidence that the aforementioned duplicate genes all perform partially redundant and partially divergent functions. For example, although both OsMADS3 and OsMADS58 are initially expressed in the floral meristems, at the later stages the transcripts of OsMADS3 were detected in the ovule primordia, whereas those of OsMADS58 were detected in the stamens and carpels (Kang et al. 1995; Kyozuka et al. 2000; Kater et al. 2006; Yamaguchi et al. 2006). Functional studies further suggested that the former gene plays more crucial roles in the development of lodicules and stamens, whereas the latter contributes more to floral meristem determinacy and carpel identities (Kang et al. 1998; Kater et al. 2006; Yamaguchi et al. 2006). Similarly, within the AGL2/3/4 lineage, OsMADS1 is more likely to be an E-function gene because it can influence the development of palea, lemma, lodicules, stamens, and carpels (Prasad et al. 2005; Kater et al. 2006). However, OsMADS5 seems to have little effect on flower development, because the osmads5 mutant almost

exhibits no obvious phenotypes (Agrawal et al. 2005; Kater et al. 2006).

## **Materials and Methods**

#### Data retrieval

Floral MADS-box genes (i.e. members of the AP1, AP3, PI, AG, AGL11, AGL2/3/4, and AGL9 lineages) used in the present study were retrieved by BLAST searches (Altschul et al. 1997) against the NCBI (http://www.ncbi.nlm.nih.gov), TAIR (http:// www.arabidopsis.org), and TIGR (http://www.tigr.org) databases. Sequences from the same species were regarded as alleles if they were over 95% identical at the DNA level. Only one such allele was included, whereas other alleles, as well as sequences with poor quality, were excluded from further analyses, leaving a total of 322 genes from 100 species in our original data set. Because the rice genome has been completely sequenced, we included the full collection of the rice genes in the data set. The synonym(s) and locus number of the rice genes are as follows: OsMADS14 (RAP1B or FDRMADS6; Os03g54160; Moon et al. 1999b; Jia et al. 2000; Kyozuka et al. 2000), OsMADS15 (RAP1A; Os07g01820; Moon et al. 1999b; Kyozuka et al. 2000), OsMADS18 (FDRMADS7; Os07g41370; Moon et al. 1999b; Jia et al. 2000), OsMADS20 (Os12g31748; Lee et al. 2003), OsMADS16 (SPW1; Os06g49840; Moon et al. 1999a; Nagasawa et al. 2003), OsMADS2 (NMADS1; Os01g66030; Chung et al. 1995; Yuan et al. 2000), OsMADS4 (Os05g34940; Chung et al. 1995), OsMADS3 (RAG; Os01g10504; Kang and Hannapel 1995; Kyozuka et al. 2000), OsMADS58 (Os05g11414; Yamaguchi et al. 2006), OsMADS13 (Os12g10540; Lopez-Dee et al. 1999), OsMADS21 (Os01g66290; Sasaki et al. 2002), OsMADS1 (LHS1; Os03g11614; Chung et al. 1994; Jeon et al. 2000), OsMADS5 (FDRMADS2; Os06g06750; Kang and An 1997; Jia et al. 2000), OsMADS34 (OsMADS19; Os03g54170; Shinozuka et al. 1999; Malcomber and Kellogg 2004), OsMADS24 (OsMADS8; Os09g32948; Greco et al. 1997; Kang and An 1997), and OsMADS45 (FDRMADS1 or OsMADS7; Os08g41950; Greco et al. 1997; Kang and An 1997; Jia et al. 2000).

# Sequence alignment and phylogenetic analysis

Protein sequences in each of the AP1, AP3, PI, AG, AGL11, AGL2/3/4, and AGL9 gene lineages were first aligned with CLUSTALX 1.83 (Thompson et al. 1997) and then adjusted manually in Gendoc (Nicholas and Nicholas 1997). Because some parts of the C-terminal regions were too divergent to be aligned confidently, a preliminary tree for each lineage was produced based on the analyses of the conserved M-, I-, K-domain regions. Then, the order of the sequences was adjusted according to

the phylogenetic relationships so that closely related sequences were listed together. At this time, the alignment for the less-conserved C-terminal regions became much easier and a new alignment was produced. A DNA version of each protein alignment was also generated with the help of the publicly available software aa2dna (http://www.bio.psu.edu/People/Faculty/Nei/Lab/software.htm).

Phylogenetic trees for each gene lineage were estimated on the basis of both protein and DNA matrices. To assure the reliability of the phylogenetic estimates, only relatively conserved regions (such as the M-, I-, and K-domain regions) were used because the alignment in the less-conserved regions (such as the C-terminal regions) is still problematic. In particular, due to the occurrence of frameshift mutations, the C-terminal ends of some proteins are no longer homologous to those of the others (see below). During phylogenetic analyses, these non-homologous regions should be excluded, as suggested in previous studies (Zahn et al. 2005; Shan et al. 2007).

Phylogenetic estimates for each matrix were performed using maximum parsimony (MP) and maximum likelihood (ML) methods in PAUP\* 4.0b10 (Swofford 2002) and PHYML version 2.4 (Guindon and Gascuel 2003), respectively. For the MP analyses, heuristic searches were conducted with 1 000 random addition replicates, with tree bisection-reconnection (TBR) branch swapping and saving all most parsimonious trees at each replicate (MulTree on). Support for each branch was assessed using bootstrap analyses with 250 bootstrap replicates, each with 50 stepwise additions and TBR branch swapping. For the ML analyses of protein matrices in PHYML, the default JTT model was chosen, with the proportion of invariable sites and the gamma distribution parameter optimized automatically and a BIONJ tree used as a starting point. For the ML analyses of DNA matrices, the most appropriate model, GTR+I+ $\Gamma$ , and other parameters were first obtained by running MODELTEST version 3.06 (Posada and Crandall 1998) and then applied in PHYML. Bootstrap analyses (200 replicates for protein matrices and 1 000 replicates for DNA matrices) were also performed to test the reliability of the phylogenetic trees. Because PHYML can give reasonable results in a relatively short time (Zahn et al. 2005; Kong et al. 2007; Shan et al. 2007), we based our descriptions and conclusions mainly on the ML trees generated by PHYML.

#### Identification of segmental duplication

To determine whether some paralogous genes in rice were actually generated through segmental duplications, we compared the 50-kb regions both upstream and downstream of the paired genes using the DotPlot function of the PipMaker program (Schwartz et al. 2000) at http://pipmaker.bx.psu.edu/pipmaker/. However, because this method may give false negative results when the genes compared contain small exons and

large introns, we also tried to compare protein sequences of the candidate genes, as well as the 10 genes both upstream and downstream of the candidate genes, using the DotLet program (Junier and Pagni 2000) at http://www.isrec.isb-sib.ch/java/dotlet/Dotlet.html. Genomic regions containing candidate gene pairs were regarded as arising from segmental duplications if at least one additional gene pair could be identified in the corresponding positions. Segmental duplications were further regarded as corresponding to a genome doubling event if the synonymous distances ( $K_{\rm s}$ ) between duplicate gene pairs are close to each other. The  $K_{\rm s}$  values between duplicate genes were calculated in MEGA3.1 (http://www.megasoftware.net/), using the Jukes-Cantor model (Kumar et al. 2004).

# Detection of sequence divergences between duplicate genes

Differences between duplicate genes were first observed from the alignments of protein sequences. Then, detailed comparisons of the exon/intron sequences between closely related paralogous genes were conducted to understand the mechanism by which a diverged C-terminal region was generated. During this process, the exon of one gene was aligned with its candidate counterpart (i.e. the exon that was located at the same position) and the two adjacent (both upstream and downstream) introns of the other gene, and *vice versa*, to identify the best matches.

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