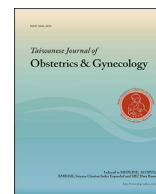




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Original Article

Sperm DNA fragmentation index, as measured by sperm chromatin dispersion, might not predict assisted reproductive outcome

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ABSTRACT

Objective: Routine semen parameters have limited clinical diagnostic value for predicting male infertility. The aim of this study was to investigate the association between sperm DNA fragmentation index (DFI) and semen quality, and between DFI and clinical pregnancy rate of in vitro fertilization (IVF) and intracytoplasmic sperm injection (ICSI).

Methods and materials: A total of 390 couples undergoing sperm fragmentation prior to receiving conventional IVF (n = 238) or ICSI (n = 152) were evaluated.

Results: We found that there were no significant differences in fertilization rate, good embryo rate, or pregnancy rate between high ($\geq 30\%$) and low ($< 30\%$) DFI groups after IVF or ICSI. However, statistically different decreasing motility trends under higher DFI values in the IVF and ICSI groups were detected. Comparison of ROC curve of motility and DFI scores for achieved pregnancy revealed that the best DFI cut-off value was 20%. Also, no significant change was found when 20% DFI level was taken in IVF and ICSI outcomes.

Conclusion: DFI scores did not provide independent information regarding fertilization, embryo quality, or pregnancy for infertile patients who received IVF or ICSI, but were consistent with semen analysis for infertile couples, regardless of IVF or ICSI outcome.

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Introduction

Routine semen parameters have limited clinical diagnostic and prognostic value for predicting male infertility. It has been estimated that more than 48.5 million couples are infertile worldwide, which has had a widespread global impact [1]. Male-related infertility is solely contribute to approximately 20% of all infertility cases; when combined with female factors, they contribute to 30–40% of cases [2]. To date, assessment of male infertility is still based on semen quality analysis according to World Health Organization (WHO) standards, including total sperm number,

concentration, motility, and morphology [3]. In fact, many cases of male infertility are caused by sperm DNA defects, which routine semen quality analysis still fails to detect [4]. Therefore, routine semen have limited clinical diagnostic and prognostic value for predicting male infertility.

Recently, many studies have shown that sperm DNA fragmentation index (DFI) is used for prediction of male infertility, and it has better diagnostic and prognostic value than routine semen parameters [5–8]. It was reported that DNA integrity is essential to fertilize oocytes and is highly indicative of male infertility [9]. Recently, several studies have shown the damage rate of sperm DNA is higher in males with suspected infertility compared with fertile men [5,7,8,10–13]. Many factors can result in sperm DNA damage, including infection [14], drug use [15] and advanced age [16].

To date, various methods have been developed and introduced to measure sperm DNA fragmentation or damage, including terminal deoxynucleotidyl transferase-mediated deoxyuridine triphosphate nick end-labeling assay (TUNEL) [17], Comet assay

Abbreviations: DFI, Sperm DNA fragmentation; SCD, Sperm Chromatin Dispersion; IVF, in vitro fertilization; ICSI, intracytoplasmic sperm injection.

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[18], DNA breakage detection-fluorescent in situ hybridization assay [19,20], sperm chromatin dispersion (SCD) [21], and sperm chromatin structure assay [6,22]. Recently, some investigators created a novel synthetic oligopeptide that showed significant value for detecting DNA damage in human spermatozoa [23].

More recently, contradictory findings have been published regarding the association between sperm DNA damage and outcome of assisted reproduction technology (ART). A systematic review showed that sperm DNA damage is associated with lower pregnancy rate in natural, intrauterine insemination [24], and in vitro fertilization (IVF) [25,26], and is associated with increased risk of pregnancy loss in couples who underwent IVF or intracytoplasmic sperm injection (ICSI) [25–28]. Alternatively, some studies suggested that DFI is not associated with ART outcome [29,30]. Therefore, evidence regarding association between sperm DNA damage and ART outcome is inconclusive.

In the present study, we investigate the associations among sperm DNA damage or fragmentation and semen parameters, fertilization rate, good embryo rate, and pregnancy rate after IVF or ICSI.

Materials and methods

Patients

This was a retrospective study at Peking University People's Hospital the data was collected during the month of September 2014 to June 2016. All experimental procedures and sample collection were approved by the Medical Ethics Committee of Peking University People's Hospital, and a written informed consent was obtained from each participant. A total of 390 infertile couples undergoing IVF or ICSI were included in this study. The following data were collected: sperm concentration, sperm motility, sperm morphology, DFI, fertilization rate, good embryo rate, and clinical pregnancy rate.

All female participants without poor ovary response had day 3 serum FSH levels <15 IU/L. Only freshly ejaculated sperm and sperm samples with a concentration of at least 1 million/mL were included for this study.

Semen analysis

Semen samples were collected from 390 men after 2–7 days of sexual abstinence and on the day of their partners' oocyte retrieval for IVF or ICSI. Semen analysis was performed according to WHO guidelines on a Makler R chamber (Sefi Laboratories, Tel Aviv, Israel) [3]. Sperm morphology was analyzed using strict criteria for all men [31]. Normal sperm samples were defined as those with concentrations $\geq 15 \times 10^6/\text{mL}$, progressive motility $\geq 32\%$, total motility $\geq 40\%$, and normal strict morphology $\geq 4\%$. Only normal sperm samples with concentrations $\geq 15 \times 10^6/\text{mL}$, motility $\geq 40\%$, and normal strict morphology $\geq 4\%$ were used for IVF; and only sperm samples with at least one of the following criteria: concentration $< 4 \times 10^6/\text{mL}$, and normal strict morphology $< 4\%$ were used for ICSI.

ART procedures

All patients received ovarian stimulation using a standard luteal down-regulation regimen (long protocol) or flare-up short regimen (short protocol) [32–35]. Standard IVF or ICSI techniques were assessed as follows: the oocytes were assessed to determine whether fertilization had occurred at 16–18 h after insemination or microinjection. After 18 h, fertilization was determined to be normal if two pronuclei and two polar bodies were identified, and pronuclei size and position, as well as nucleoli size, distribution, and number were evaluated [36].

The day 3 embryo scoring system were observed according to their cell number, symmetry, blastomeres, type, and percentage of fragmentation [37]. Fresh embryo transfer was performed on day 3 after oocyte retrieval using the best quality embryos among a cohort of resultant embryos. The grading criteria were as follows: grade I: no fragmentation with equal-sized cells; grade II: <20% fragmentation with equal-sized cells; grade III: a lot of fragmentation with unequal-sized cells; grade IV: $\geq 20\%$ fragmentation with unequal-sized cells; and grade V: $\geq 50\%$ fragmentation. Embryos classified as grade I or II were denoted as good embryos. The day 5–6 blastocyst that were cryopreserved had at least grade 3BB [38]. Freezing and thawing were performed using a Kitazato Vitrification Freeze kit and Kitazato Thaw kit according to the manufacturer's protocols. No more than three surviving embryos were transferred into the uterine cavity. The luteal phase was routinely supported with progesterone 40–60 mg IM per day for 14 days and continued for another 4 weeks if pregnancy was established. Serum hCG levels were measured 14 days after embryo transfer. Clinical pregnancy was confirmed by ultrasound 4 weeks after embryo transfer.

SCD test

After liquefaction, an aliquot of 100 μL of the raw semen sample was used for SCD test [39]. Using the Halosperm® kit (INDAS Laboratories, Madrid, Spain), the SCD test was performed according to the manufacturer's protocol [40]. The procedure of measuring sperm DNA fragmentation by SCD test was performed as follows. A minimum of 500 spermatozoa per sample were scored under the $\times 100$ microscope objective. The SCD test is based on the principle that sperm with non-fragmented DNA produce a big halo of dispersed DNA loops. Otherwise, sperm with fragmented DNA which size of halo smaller than 1/2 of minor diameter of the core [41]. It is widely accepted that a DFI value of 30% can be used as the cut-off to distinguish between potentially fertile and infertile men [5].

Semen preparation

IVF samples were prepared by swim up: raw semen were diluted 1:1 (v:v) with Sperm Medium (SAGE, Cooper Surgical-Origio, Denmark). Then they were pelleted at 500 g for 5 min and the supernatants were discarded. Another process was to add 0.5–1 mL fresh medium and the incubation for 45 min of the tubes with 45° inclination. Finally, the upper 0.1–0.5 mL was taken for IVF procedures. ICSI samples were pelleted at 500 g for 5 min and the supernatants were discarded. Then, careful addition of 0.1 mL fresh medium was taken for ICSI procedures.

Statistical analysis

Statistical analysis was performed using SPSS (version 18.0, Inc., Chicago, USA). The Student's t-test for independent samples was used for comparison between groups. The correlations between parameters were examined using linear regression techniques with Pearson's correlation coefficient. ROC curves for variables were performed according to ROC analyses. The positive predictive value, negative predictive value, and their 95% CI were also calculated for significant variables. p values less than 0.05 were considered statistically significant.

Results

DFI and semen parameters

This study included 390 infertile couples undergoing IVF (n = 238) and ICSI (n = 152). The IVF and ICSI groups were further

subdivided into two subgroups based on the DFI cut-off value ($\geq 30\%$ and $< 30\%$). In the 238 couples undergoing IVF, 53 men were found to have an abnormal DFI value. No statistical significance was demonstrated between any DFI subgroups and serum levels of follicle-stimulating hormone (FSH), luteinizing hormone (LH), or testosterone (T). However, in the 152 couples undergoing ICSI, 71 men were reported to have an abnormal DFI value and not showed correlation with serum levels of FSH, LH, and T.

All characteristics of semen (volume, concentration, progressive rate, non-progressive rate, motility, morphology) and DFI are presented in Table 1. The sperm concentration was significantly higher in the IVF group compared with the ICSI group. Another difference was noted between sperm motility and DFI. In the IVF group, motility of $\geq 30\%$ and $< 30\%$ subgroups appeared dramatically discrepant (68.17 and 52.70, respectively). In addition, similar results were observed in couples undergoing ICSI (52.15 and 38.99, respectively). All other parameters (volume, normal morphology) were almost the same in the IVF group compared with the ICSI group (Table 1).

Correlation between motility and DFI level are shown (Fig. 1). Statistically significant negative correlations were found between DFI and motility in IVF couples ($r = -0.454$, $p < 0.001$) and ICSI couples ($r = -0.488$, $p < 0.001$).

DFI and fertilization rate, good embryo quality rate, and clinical pregnancy rate

In the IVF and ICSI groups, when DFI threshold had a cut-off value of $\geq 30\%$, there were no significant negative correlations

between DFI and fertilization rate, good embryo quality rate, and pregnancy rate (Table 2). In the ICSI group, fertilization rate (69.31 ± 18.31 vs. 69.41 ± 18.9) and good embryo rate (52.49 ± 27.62 vs. 52.47 ± 25.44) were not significant. There was no difference in pregnancy rate (46.91% vs. 36.62%), although there was a decreasing trend in the $\geq 30\%$ DFI subgroup.

Optimal DFI cut-off value

Comparison of ROC curve of motility and DFI for achieved pregnancy criterion showed there was no significant difference (Fig. 2). The value with the best ratio of sensitivity and specificity was defined as the cut-off value, and was 20% for DFI in both IVF and ICSI groups (Table 3). Also no significant change was found when 20% DFI level was taken in IVF and ICSI outcomes (Table 4 and Table 5).

Discussion

Sperm chromatin is well-organized and has high nuclear condensation, crystalline structure, haploid DNA, and heterogeneous proteins [42]. In spermatogenesis, any alterations that occur in the sperm chromatin could have detrimental effects on sperm functions [43]. It is widely accepted that sperm DNA fragmentation is correlated with semen quality [5,7,8,10,42,44,45]. In this study, we evaluated the association between DFI and human sperm parameters. A negative association between DFI and sperm motility was found, which is consistent with results of previous studies [7,13,29]. Our finding that there was a correlation between

Table 1
Semen parameters categorized according to the type of treatment: IVF or ICSI.

Group	IVF (n = 238)		P value	ICSI (n = 152)		P value
	<30%	$\geq 30\%$		<30%	$\geq 30\%$	
Cycle (n)	185	53		81	71	
Male age (y)	37.72 ± 6.62	40.75 ± 7.18	0.004	36.46 ± 6.13	36.44 ± 7.47	0.981
FSH (IU ml ⁻¹)	5.16 ± 2.86	5.79 ± 3.1	0.167	7.0 ± 3.96	7.57 ± 4.34	0.402
LH (IU ml ⁻¹)	3.97 ± 1.97	3.91 ± 1.61	0.834	4.46 ± 2.12	4.33 ± 1.98	0.71
T (ng ml ⁻¹)	7.97 ± 6.0	3.67 ± 1.46	0.603	3.48 ± 1.49	9.06 ± 4.64	0.281
Volume (ml)	3.15 ± 1.32	3.16 ± 1.91	0.898	2.84 ± 1.24	3.09 ± 1.77	0.312
Concentration (M ml ⁻¹)	76.86 ± 10.45	67.43 ± 51.52	0.594	9.06 ± 13.93	8.75 ± 9.05	0.875
Progressive rate, PR (%)	53.46 ± 15.65	40.58 ± 18.91	0.001	41.06 ± 19.49	32.72 ± 22.98	0.017
Non-progressive rate, NP (%)	14.71 ± 7.41	12.13 ± 6.69	0.024	11.09 ± 6.66	6.28 ± 4.32	0.001
Motility (%)	68.17 ± 17.98	52.70 ± 22.4	0.001	52.15 ± 23.23	38.99 ± 24.87	0.001
Normal morphology (%)	4.67 ± 1.06	4.51 ± 1.25	0.331	3.86 ± 2.12	3.12 ± 1.58	0.017

Abbreviations: DFI, DNA fragmentation index; FSH, follicle-stimulating hormone; LH, luteinizing hormone; T, testosterone. Significance was defined as $P < 0.05$.

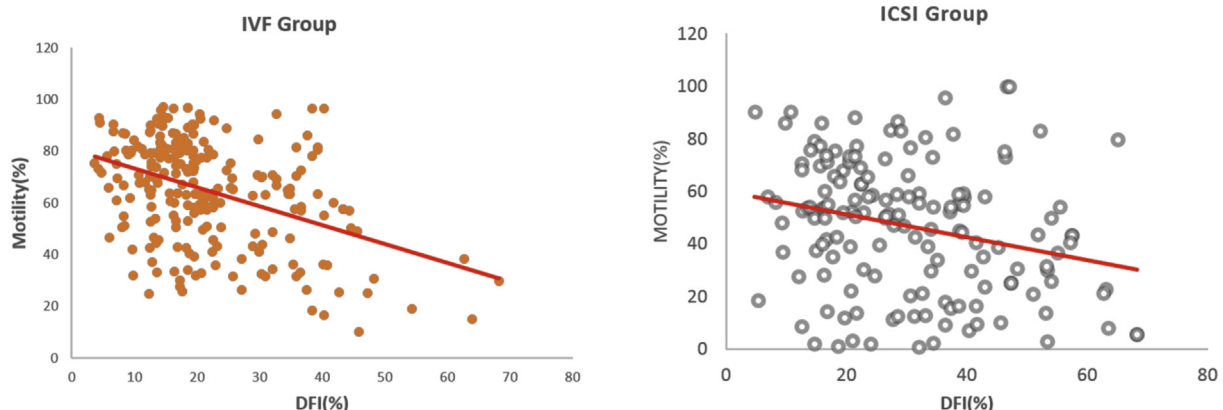


Fig. 1. Scatter graph illustrating the regression analysis of sperm motility and DFI.

Table 2
Comparison of sperm 30% DFI levels in outcomes of IVF or ICSI.

Group	IVF(n = 238)		P value	ICSI(n = 152)		P value
	<30%	≥30%		<30%	≥30%	
Cycle (n)	185	53		81	71	
Female age (y)	35.73 ± 5.34	38.26 ± 4.94	0.002	34.37 ± 5.45	33.87 ± 5.81	0.587
Oocytes retrieved (n)	11.26 ± 5.94	10.23 ± 5.33	0.255	12.11 ± 6.78	14.56 ± 6.9	0.029
^a Fertilization rate (%)	77.77 ± 18.99	80.51 ± 18.08	0.35	69.31 ± 18.31	69.41 ± 18.9	0.972
^b Good embryo rate (%)	58.76 ± 27.26	61.3 ± 27.5	0.555	52.49 ± 27.62	52.47 ± 25.44	0.996
Clinical pregnancy rate (%)	43.24% (80/185)	56.6% (30/53)	0.074	46.91% (38/81)	36.62% (26/71)	0.202
Ongoing pregnancy rate (%)	87.5 (70/80)	93.33 (28/30)	0.325	81.58 (31/38)	80.77 (21/26)	0.625

Data values of reproduction outcome neither vary significantly in IVF nor ICSI group.

^a Fertilization rate = (No fertilized oocytes)/(No inseminated oocytes) × 100.

^b Good embryo rate = (No good embryos)/(No embryos) × 100.

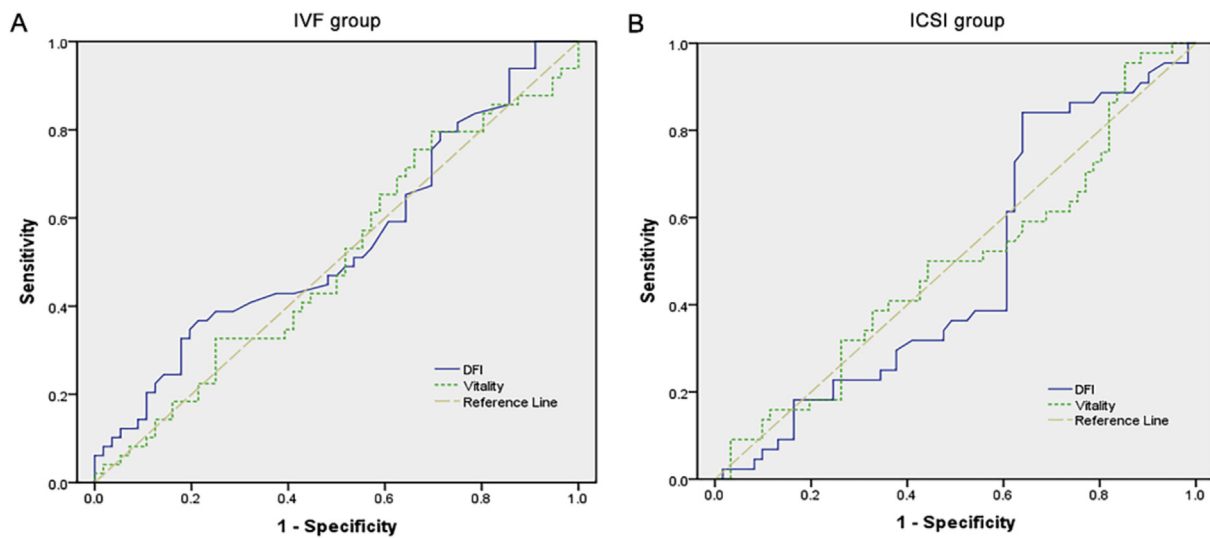


Fig. 2. Comparison of ROC curve for DFI and sperm motility in IVF or ICSI group. The criterion variable was achieved pregnancy. There were no significant differences [IVF group, motility (AUC = 0.502) vs. DFI (AUC = 0.543), $p = 0.448$; ICSI group, motility (AUC = 0.492) vs. DFI (AUC = 0.477), $p = 0.685$].

Table 3
Sensitivity and specificity, positive and negative likelihood and positive and negative predictive values with 95% confidence interval for sperm motility (%) and DFI. The cut-off value determined by ROC curve according to achieved pregnancy criterion.

Variable	Cut-off value	Sensitivity	Specificity	PLR	NLR	PPV	NPV
IVF group							
Motility	≥40	46	53	1.0	1.0	86	14
DFI	≥20	41	40	0.69	1.5	63	21
ICSI group							
Motility	≥40	33	48	0.6	1.4	41	39
DFI	≥20	55	63	1.5	0.7	36	79

DFI levels and sperm motility indicates that DFI can be used as a predictor of testicular spermatogenesis, and DFI should be a **supplementary** to WHO guideline. Additionally, some other parameters, such as semen volume and sperm morphology, were not associated with DFI, which is in contrast to findings of other studies; this may have been caused by using different methodologies [18,22], such as using of different editions of WHO guidelines, standardization of different sperm DNA fragmentation tests, sperm separation and use of different techniques.

Recently, some studies revealed that DFI levels were negatively correlated with fertilization rate, embryo quality rate, and pregnancy rate after IVF or ICSI [25,41,46,47]. However, our results confirmed that DFI was not related to fertilization rate, good embryo rate, or pregnancy rate. This discrepancy was probably due to some of the following reasons: first, when washing sperm, good

sperm from semen are selected [47], and the good sperm observed under the microscope are selected and injected into the oocyte. Second, the good embryo rates of IVF or ICSI did not differ; this indicates that a rather high DFI value may not necessarily impact embryonic development, for which only mature and morphologically normal sperm are involved in fertilization or used in IVF or ICSI procedures. In addition, studies in mice [48] and humans [49,50] revealed that oocytes might repair DNA damage; therefore, the limited capacity of oocytes to repair sperm DNA damage might greatly affect ART outcome. Consequently, sperm DNA damage might not necessarily affect embryonic development and subsequent IVF/ICSI treatment. Third, the paternal genome is switched on after the 4–8 cell stage, which further affects embryo development [51,52]. An animal experiment showed that the oocyte can repair sperm dysfunction [53]. In the stage of in vitro development, the embryos with good quality does not means that its must be development to the blastocyst; otherwise the poor embryo might be reaching to blastocyst although the higher level of DFI fertilized the oocytes. Finally, some embryos causing its developing blocking may not be transferred.

When the association between DFI and pregnancy rate was analyzed, the ROC curve analysis results showed that sperm DNA damage assessment was a good predictive parameter of pregnancy success for infertile couples. The cut-off value was set at 20% sperm DNA fragmentation with greater sensitivity than the previously established sperm DNA fragmentation index of 30% [21]. However,

Table 4Clinical data on semen parameters in IVF and ICSI cycles divided into according to DFI $\geq 20\%$ versus DFI $< 20\%$.

Group	IVF (n = 238)			ICSI (n = 152)		
	<20%	$\geq 20\%$	P value	<20%	$\geq 20\%$	P value
Cycle (n)	145	93		50	102	
Male age (y)	36.04 \pm 5.07	38.03 \pm 6.03	0.66	36.79 \pm 4.77	36.14 \pm 7.71	0.129
FSH (IU ml ⁻¹)	5.59 \pm 2.66	4.33 \pm 1.71	0.005	7.32 \pm 3.47	8.25 \pm 5.1	0.432
LH(IU ml ⁻¹)	4.07 \pm 1.3	3.96 \pm 1.11	0.78	4.76 \pm 2.24	4.86 \pm 2.23	0.833
T (ng ml ⁻¹)	3.72 \pm 1.61	3.49 \pm 1.29	0.445	3.82 \pm 1.62	3.47 \pm 1.3	0.26
Volume (ml)	3.3 \pm 1.35	3.36 \pm 1.94	0.857	3.0 \pm 1.15	3.23 \pm 1.66	0.492
Concentration (M ml ⁻¹)	76.86 \pm 10.45	68.98 \pm 58.39	0.849	13.39 \pm 13.37	10.39 \pm 9.23	0.195
Progressive rate, PR (%)	54.63 \pm 16.51	43.98 \pm 17.03	0.004	37.53 \pm 21.52	35.34 \pm 22.9	0.657
Non-progressive rate, NP (%)	14.82 \pm 6.27	12.76 \pm 6.11	0.128	8.16 \pm 5.76	7.96 \pm 5.85	0.872
Motility (%)	69.45 \pm 18.96	56.74 \pm 19.33	0.003	45.69 \pm 24.88	43.29 \pm 25.78	0.668
Normal morphology (%)	4.58 \pm 0.99	4.03 \pm 0.9	0.01	3.53 \pm 2.01	3.3 \pm 1.72	0.27

Abbreviations: DFI, DNA fragmentation index; FSH, follicle-stimulating hormone; LH, luteinizing hormone; T, testosterone.

Significance was defined as $P < 0.05$.**Table 5**

Comparison of sperm 20% DFI levels in outcomes of IVF or ICSI.

Group	IVF(n = 238)			ICSI(n = 152)		
	<20%	$\geq 20\%$	P value	<20%	$\geq 20\%$	P value
Cycle (n)	145	93		50	102	
Female age (y)	36.72 \pm 5.53	37.47 \pm 4.29	0.12	35.21 \pm 5.0	35.46 \pm 5.52	0.125
Oocytes retrieved (n)	10.86 \pm 5.71	11.44 \pm 6.2	0.855	12.35 \pm 7.97	12.86 \pm 7.0	0.622
^a Fertilization rate (%)	79.31 \pm 19.4	77.21 \pm 16.46	0.164	68.76 \pm 17.9	74.22 \pm 19.52	0.458
^b Good embryo rate (%)	62.28 \pm 27.58	55.12 \pm 25.75	0.927	49.06 \pm 29.56	59.53 \pm 26.82	0.278
Clinical pregnancy rate (%)	40 (58/145)	56 (52/93)	0.074	40 (20/50)	43 (44/102)	0.242
Ongoing pregnancy rate (%)	86.2 (50/58)	92.3 (48/52)	0.102	75 (15/20)	84.1 (37/44)	0.836

Data values of reproduction outcome neither vary significantly in IVF nor ICSI group.

^a Fertilization rate = (No fertilized oocytes)/(No inseminated oocytes) \times 100.^b Good embryo rate = (No good embryos)/(No embryos) \times 100.

there also no significant change was found when 20% DFI level was taken in IVF and ICSI outcomes. Therefore, DFI cannot predict outcomes of fertilization rate, embryo quality, or pregnancy rate for infertile patients undergoing IVF or ICSI treatment.

Conclusions

Our observation indicates that DFI scores cannot provide independent information about fertilization rate, embryo quality, or pregnancy rate for infertile patients undergoing ART, but DFI could provide insight into male semen quality. Semen analysis remains a cornerstone of evaluation for male infertility because it provides basic information regarding male semen quality. We suggest that the DFI test should be included as a supplement to traditional semen analysis for couples with infertility, regardless of if they use IVF or ICSI treatment. Moreover, future studies should focus on the association between DFI and semen quality, especially in cut-off value of DNA fragmentation, because there is the clinical concern regarding whether DFI can be used for infertility diagnosis and prognosis.

Author contributions

Professor YX L and LT conceived and designed the experiments. We thank the staff of Reproductive Medical Center, Peking University People's Hospital, the Changsha Reproductive Medicine Hospital, and the Liao-Ning Family Institute for semen sample collection. We also thank Jia-Yi Duan, who performed the experiment, and Yang Cao, who analyzed the data.

Competing financial interests

All authors declare no competing financial interests.

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